

## Governance & Audit Plan as at 3 June 2024

---

### Purpose:

This report provides a summary.

### Recommendation:

1. That members note

Date	Title	Lead Officer	Purpose of the report	Date First Published
<b>11 JUNE 2024</b>				
11 Jun 2024	Internal Audit Progress Report - Quarter 4 2023/24	Emma Foy, Director of Corporate Services (Section 151 Officer)	To present to members the Quarter Four Internal Audit Progress Report. The report gives members an update of progress, by the Audit partner, against the 2023/2024 annual programmes agreed by the Audit Committee in April 2023.	
11 Jun 2024	Year End Treasury Management Outturn 2023/24	Peter Davy, Financial Services Manager (Deputy Section 151 Officer)	To report on Annual Treasury Management activities and prudential indicators for 2023/24 in accordance with the Local Government Act 2003	
11 Jun 2024	Unaudited Statement of Accounts 2023/24	Peter Davy, Financial Services Manager (Deputy Section 151 Officer)	The 2023/24 Unaudited Statement of Accounts is presented for scrutiny	
11 Jun 2024	Draft Annual Governance Statement	Lisa Langdon, Assistant Director People and Democratic (Monitoring Officer)	To review the effectiveness of the Council's governance arrangements during the past year, and any planned changes in the coming year.	

11 Jun 2024	Establishment of the Joint Committee for Devolution (Terms of Reference and Standing Orders)	Lisa Langdon, Assistant Director People and Democratic (Monitoring Officer)	To consider the Terms of Reference and Standing orders of the Joint Committee for Devolution and its governance arrangements.	
11 Jun 2024	Member Development Annual Report 2023/2024	Ele Snow, Senior Democratic and Civic Officer	To review the previous 12 months of Member Development activity, including the revised Induction Programme, and to consider future plans	Member Development Annual Report 2023/2024
<b>16 JULY 2024</b>				
16 Jul 2024	Risk Management Strategy Questionnaire	Emma Foy, Director of Corporate Services (Section 151 Officer)		
16 Jul 2024	Fraud Risk Assessment	Emma Foy, Director of Corporate Services (Section 151 Officer)		
16 Jul 2024	Strategic Risk Quarterly Review	Emma Foy, Director of Corporate Services (Section 151 Officer)	For Management Team and G&A to review the Strategic Risks on a quarterly basis	
16 Jul 2024	Q1 Corporate Risk Register	Emma Foy, Director of Corporate Services (Section 151 Officer)		
16 Jul 2024	Q1 Internal Audit Report	Emma Foy, Director of Corporate Services (Section 151 Officer)	To consider the progress update report from Internal Audit	
<b>24 SEPTEMBER 2024</b>				
24 Sep 2024	Strategic Risk Strategy	Emma Foy, Director of Corporate Services (Section 151 Officer)	To consider the Corporate Strategic Risk Strategy	20 November 2023

24 Sep 2024	Report to those Charged with Governance – External Audit Completion Report (ISA260)	Lisa Langdon, Assistant Director People and Democratic (Monitoring Officer)
24 Sep 2024	Annual Governance Statement	Lisa Langdon, Assistant Director People and Democratic (Monitoring Officer)
24 Sep 2024	Audited Statement of Accounts 2023/24	Peter Davy, Financial Services Manager (Deputy Section 151 Officer)
24 Sep 2024	Internal Audit Update Report	Emma Foy, Director of Corporate Services (Section 151 Officer)
<b>26 NOVEMBER 2024</b>		
26 Nov 2024	Review of Constitution	Lisa Langdon, Assistant Director People and Democratic (Monitoring Officer)
26 Nov 2024	Annual Governance Statement – Update on Procurement	Lisa Langdon, Assistant Director People and Democratic (Monitoring Officer)
26 Nov 2024	Review of Whistleblowing Activity	Lisa Langdon, Assistant Director People and Democratic (Monitoring Officer)
26 Nov 2024	Review of Local Code of Corporate Governance	Lisa Langdon, Assistant Director People and Democratic (Monitoring Officer)
26 Nov 2024	Contract Management and Finance	Emma Foy, Director of

	Procedure Rules	Corporate Services (Section 151 Officer)
26 Nov 2024	Update on Fraud Risk Assessment	Emma Foy, Director of Corporate Services (Section 151 Officer)

### 21 JANUARY 2025

---

21 Jan 2025	External Auditor's Annual Report 2023/24	Emma Foy, Director of Corporate Services (Section 151 Officer)	To present to members the Quarter Four Internal Audit Progress Report. The report gives members an update of progress, by the Audit partner, against the 2023/2024 annual programmes agreed by the Audit Committee in April 2023.
21 Jan 2025	Internal Audit Progress Report - Quarter 3 2023/24	Emma Foy, Director of Corporate Services (Section 151 Officer)	
21 Jan 2025	Draft Treasury Management	Peter Davy, Financial Services Manager (Deputy Section 151 Officer)	
21 Jan 2025	Strategic Risk Quarterly Review	Emma Foy, Director of Corporate Services (Section 151 Officer)	

### 11 MARCH 2025

---

11 Mar 2025	External Auditor's Annual Report 2023/24	Peter Davy, Financial Services Manager (Deputy Section 151 Officer)
11 Mar 2025	Accounts Closedown 23/24	Peter Davy, Financial Services Manager (Deputy Section 151 Officer)

---

11 Mar 2025	Internal Audit Draft Annual Plan	Emma Foy, Director of Corporate Services (Section 151 Officer)
11 Mar 2025	Combined Assurance	Emma Foy, Director of Corporate Services (Section 151 Officer)

---

**22 APRIL 2025**

22 Apr 2025	Year End Review of Strategic Risks	Peter Davy, Financial Services Manager (Deputy Section 151 Officer)
22 Apr 2025	Update on Constitution Review	Peter Davy, Financial Services Manager (Deputy Section 151 Officer)
22 Apr 2025	Internal Audit Progress Report	Emma Foy, Director of Corporate Services (Section 151 Officer)

---